Congenitally Missing Lateral Incisors, Orthodontic, Restorative, and Implant Approaches

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Citation: Muhamad Abu-Hussein et al. (2016 ), Congenitally Missing Lateral Incisors, Orthodontic, Restorative, and Implant Approaches. Int J Dent and Oral Heal. 2:3, 24-33. DOI: 10.25141/2471-657X-2016-3.0071

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Received: August 26, 2016; Accepted: September 19, 2016; Published: September 29, 2016

Abstract

Agenesis, the absence of permanent teeth, is a common occurrence among dental patients. The total incidence of tooth agenesis is about 4.2% among patients that are seeking orthodontic treatment and with the exception of third molars, the maxillary lateral incisors are the most common congenitally missing teeth with about a 2% incidence. Esthetically correcting congenitally missing maxillary lateral incisors is a common challenge that every orthodontist and dental team will face, and dentists must consider the treatment options that are most appropriate for each patient. This article discusses factors in determining whether to close an open space or to open enough space for a prosthetic treatment for congenitally missing maxillary lateral incisors. Further, the importance of a total treatment approach using an interdisciplinary dental specialty team to maximize function, aesthetics, and oral health is discussed.

Key words: Tooth Agenesis, Lateral Incisors, Orthodontics Space Closure, Reconstruction

Introduction:

To close or not to close; which is better? This is the age old question that orthodontists have been trying to answer for years when treatment planning patients who are missing maxillary lateral incisors.¹,²

A significant number of people in the population are congenitally missing permanent maxillary lateral incisors.¹⁻⁵ The demand for orthodontic treatment by these people is high because of the obvious impact that this condition has on both dental and facial esthetics. This is a challenging situation that every orthodontist will encounter on a regular basis.⁶,⁷

There are multiple options when treatment planning these patients. One option is to close the lateral incisor space by moving the canine until it is adjacent to the central incisor and then reshaping it to look like the lateral incisor through a process called canine substitution.⁶ The other option is to place the canine at its natural position within the dental arch, filling the void left by the missing lateral incisor with either a single-tooth implant or a tooth-supported restoration.²,⁴,⁸

A recent study by Rendon found that when the permanent maxillary lateral incisor was missing, the canine erupted in a more mesial position within the dental arch closer to the midalveolar plane.⁷ Araujo also suggests that in patients with congenitally missing maxillary lateral incisors, canines frequently show a mesial pattern of eruption, with a final position in the dental arch that is adjacent and parallel to the central incisors, and that such a condition favors canine substitution.⁸
In patients that are congenitally missing maxillary lateral incisors, one more criteria to consider that isn’t mentioned in the literature is the gingival margin of the maxillary canine that erupts into the space normally occupied by the maxillary lateral incisor, and its relationship to the gingival margin of the maxillary central incisor. Is the relationship between the gingival margins like a normal maxillary central incisor/maxillary canine relationship, where the gingival margins are at the same level? Or, is the relationship between the gingival margins of the maxillary central incisor and canine more like a maxillary central incisor/maxillary lateral incisor relationship, where the lateral incisor gingival margin in more incisal than the gingival margin of the maxillary central incisor?[1,4,9]

Multiple studies have tried to determine what the most esthetic gingival margin relationship is between a maxillary central incisor and a maxillary lateral incisor. This was accomplished by using smiling photographs of the same smile in which only the gingival margin relationship between the maxillary central and lateral incisors had been altered in certain increments. Lay people, dentists, and dental specialists were then asked which photographs they thought were the most esthetic.[10,11,12]

Other studies tried to determine what the most common relationship was between maxillary central incisors and maxillary lateral incisors in the dental arch. This was accomplished by obtaining measurements directly from plaster model casts using digital calipers and from digital photographs of model casts using computer software. [11,13]

This article discusses factors in determining whether to close an open space or to open enough space for a prosthetic treatment for congenitally missing maxillary lateral incisors. Further, the importance of a total treatment approach using an interdisciplinary dental specialty team to maximize function, aesthetics, and oral health is discussed.

Orthodontic Approach:

The orthodontic approach is, in the authors’ opinion, the most conservative approach and is favorable if a patient meets certain requirements. These requirements are (1) malocclusion and (2) size, shape, and color of the canines. Fig.1

According to Kokich and Kinzer there are two malocclusions that permit canine substitution (canine repositioning at the site of the lateral incisor). These are an Angle class II malocclusion, with no crowding in the mandibular arch, or an Angle class I malocclusion, with severe crowding in the lower arch where it is necessary to execute extractions. The final occlusion of both variants should end up in an anterior group function in lateral excursive movements. [10]

When evaluating the size, shape, and color of the canine carefully, it can be predicted if recontouring alone is enough for an esthetic result or if the orthodontic treatment has to be combined with subsequent restorative treatment. The ideal canine for substitution has similar proportions in width and convexity as the lateral it should replace. Also, the color should be similar to the color of the central incisor. To establish the proper width, either contralateral incisor may be taken as a reference or some proportional calculations can be made. The authors favors Chu’s [14] approach with the formula;

Central incisor =width in mm = X
Lateral incisor 5=X - 2 mm
Canine = X - 1 mm

According to this formula by Chu, [14] the canine that substitutes the lateral is approximately 1 mm too wide. That means that 0.5 mm of recontouring mesially and distally would have to be done to get the desired width. The convexity of the lateral incisor is more subtle than the convexity of the canine. Also, the canine normally shows in two planes mesiodistally whereas the lateral incisor has just one. It is also necessary to reshape the lingual surface of the canine to achieve a proper overjet and overbite relation, and the cusp tip of the canine needs enameoplasty as well.

If all this recontouring requires a significant amount of reduction of enamel, problems may occur. One of the problems that can be faced is that a patient experiences dental hypersensitivity, although Zacchrison has shown that if all these reductions are performed using diamond instruments with abundant water spray cooling on young teeth, there are no long-term changes in tooth sensitivity. If dentin is exposed, an adjunctive restoration may be necessary.[15]

Another problem that occurs is the color of the canine. Usually the canines are one to two shades darker than the central incisor. This problem can get even worse if a lot of reduction has to be performed to flatten a canine with a prominent labial convexity.

As the enamel of the canine becomes thinner, the dentin starts to show through the translucent enamel and as a result the tooth appears even darker. One solution for the difference in color is single tooth bleaching, but with thinning the enamel the risk of sensitivity after bleaching increases.[15] Another option is adjunctive restorative treatment The bracket placement in canine

Fig.1; male aged 11y3m, comes at our observation completion of permanent eruption, showing upper cuspid just in lateral incisors position
substitution cases is different. The bracket is not placed with the incisal edge of the canine as a reference but with the gingival margin as the guide. The gingival zeniths of the lateral incisors should be 0.5 to 1 mm lower than the central incisors, so the canine bracket has to be placed accordingly. \[15\]

To make the final decision if a patient is suitable for the orthodontic approach and to anticipate if additional restorations may be necessary, the authors strongly suggest a carefully executed treatment plan. Fig.4, 5

**Advantages:**

The major advantage of space closure is the permanence of the finished result. This is a one-shot therapy, which means that the overall treatment can be completed by the end of orthodontic treatment at an early age with a permanent result and long-term stability. The alveolar bone height in the actual region is maintained by the early mesial movement of the canine. The individual keeps his natural dentition which means that lifelong prosthetic restorations, that are likely to need repairs or replacements in the future, are avoided. Thus, the total cost of treatment is reduced for patient’s benefit. \[16,17\] In addition, clear and natural gingival margin is achieved which will change in synchrony with the patient’s own teeth over a lifetime and any change due to the normal aging or for other reasons (mechanical, including toothbrushing, or periodontal) will take on a natural look. \[18\]

The esthetic result of space closure as a treatment option in patients with congenitally missing maxillary lateral incisors is generally preferred by general dentists, orthodontists, combined dental specialists, and laypeople. An interesting point was that a significant percentage of general dentists would restore the missing
lateral incisors with implants for esthetic reasons but, even those professionals who felt the missing teeth should be restored, many did not prefer the esthetic result of a restored option. \[16,17\]

In another study, Robertsson and Mohlin (2000) evaluated the satisfaction of fifty treated patients with lateral incisor agenesis. They have shown that (a) patients treated by space closure were more satisfied with the treatment results than the prosthesis patients, (b) there was no difference between the 2 groups in prevalence of signs and symptoms of temporomandibular junction (TMJ) dysfunction, and (c) patients with prosthetic replacements had impaired periodontal health with accumulation of plaque and gingivitis.\[19\]

Disadvantages:

The tendency of the anterior teeth to reopen and relapse, after the orthodontic treatment is completed, is considered as the main disadvantage .\[11,18\] However, this can be overcome with long-term fixed retention with a palatally bonded flexible spiral wire retainer on the palatal surfaces of the six anterior teeth. Another disadvantage of this treatment option is the enameloplasty which is required usually on both the canine and premolar in order to resemble the teeth they substitute.\[16,17\]

Moreover, the color difference between incisors and canines, can cause esthetic problems and requires restoration. In addition, the fact that canine-protected occlusion is not feasible with space closure is considered as a disadvantage by certain authors, due to the stress placed on the premolars.\[11\]

Though, long-term occlusal and periodontal studies have shown there is no evidence for establishment of Class I canine relationship and space closure with premolar substitution for canines can lead to an acceptable functional relationship with modified group function on the working side.\[19\]

Tooth-Supported Restorations Approach:

The first step in opening space for a tooth-supported prosthesis or single-tooth implant is to determine how much space is necessary for the missing lateral incisor replacement. There are several methods for doing this. The first method is called the “golden proportion.”\[22\] The perceived width of each anterior tooth should have a ratio of 1:1.618 to the tooth adjacent to it\[20-22\].

The second method is to use the contralateral lateral incisor as a reference,\[23\] but this method is not suited for patients with missing or peg-shaped contralateral incisors\[2,23\].

The third method is to conduct a Bolton analysis.\[24\] It involves dividing the sum of the mesiodistal widths of the 6 anterior mandibular teeth by the sum of the mesiodistal widths of the 6 anterior maxillary teeth. The anterior Bolton ratio should be approximately.\[1,3,23\] The Bolton analysis is a quick and reliable way to determine the appropriate space necessary for patients with congenitally missing lateral incisors\[23\].

The fourth and most predictable guide for determining the ideal replacement space is to construct a diagnostic wax-up. Generally, the maxillary lateral incisor width ranges from 5 to 7 mm.\[20,23\]

Three Types Of Tooth-Supported Prostheses:

There are 3 basic types of tooth-supported prostheses available today. They are a resin-bonded fixed bridge, a cantilevered fixed bridge, and a conventional full-crown fixed bridge. The primary consideration among these treatment options is conservation of tooth structure. Ideally, the treatment of choice should be the least invasive option that satisfies both aesthetic and functional objectives for the patient.

Cantilever Bridge—The second most conservative tooth-supported prosthesis designed to replace a congenitally missing lateral incisor is a cantilevered fixed bridge. The success of this type of restoration is not dependent on the amount of proclination or mobility of the abutment teeth. Intracoronal pins provide retention and resistance for a cantilevered bridge; therefore the size of the pulp and its location within the tooth must be evaluated prior to the selection of this type of restoration. The long-term success of the cantilevered fixed bridge depends on management of the effects of occlusion on the pontic(s). Heavy occlusal forces applied on the pontics cause early failures.\[20,24\]

Conventional Full-Coverage Fixed Bridge:
The least conservative but sturdiest of all tooth-supported protheses is a conventional full-coverage fixed bridge. A conventional fixed bridge exerts control over the occlusion and occlusal forces. Before a full-coverage fixed bridge is placed, the alignment of the anticipated abutment teeth along a common pathway must be verified. From the frontal view, the long axis of the central incisor and the labial surface of the canine should be parallel. This allows the prosthodontist to achieve the proper “line of draw” when preparing these teeth. Also, from a lateral perspective, the long axis of the canine and the labial surface of the central incisor must be parallel for proper tooth preparation. The orthodontist must know how to align these teeth according to the specific restorative requirements for the chosen prosthesis. Another consideration is the faciolingual position of the abutment teeth as it relates to palatal tooth preparation and the amount of preparation. The orthodontist can help to reduce the need for tooth preparation by leaving an overjet of approximately 0.5 to 0.75 mm.

Resin-bonded Fixed Bridge—This is the most conservative method for replacing a missing lateral incisor with a tooth-supported prosthesis. The success rate with this type of prosthesis varies widely from 46% over 11 months to 90% over 11 years, with the most common form of failure being debonding. The specific criteria for a successful treatment using a resin-bonded fixed bridge include the position, mobility, thickness, and translucency of the abutment teeth as well as the overall occlusion. Resin-bonded fixed bridges placed in a deep overbite relationship have been shown to have a higher incidence of failure. The ideal anterior relationship for a resin-bonded fixed bridge is a shallow overbite. Another concern regarding tooth position is inclination of the abutment teeth. Abutment teeth with increased inclination are more prone to debonding. The mobility of the abutment teeth is a contraindication for a resin-bonded fixed bridge. A final area of concern regarding placement of a resin-bonded fixed bridge is occlusal parafunction, which places too much stress on the pontic and subsequently results in prosthesis failure. Abutment teeth that are immobile, moderately thick, and have translucency mainly localized in the incisal one third are ideal candidates for a resin-bonded fixed bridge. A shallow overbite allows maximum surface area for bonding retainers with little or no tooth preparation. Each of the above prosthetic methods can be used with a high degree of success if used in the appropriate situation.
Interdisciplinary management of patients with congenitally missing lateral incisors often plays a vital role in the success of the treatment. The combined efforts of the prosthodontist and orthodontist can produce predictable and aesthetic treatment results for congenitally missing lateral incisors.[23,34,29]

**Implants Approach:**

With lateral incisor agenesis and available space, implants are usually the treatment of choice. Implants are a favorable option because no adjacent tooth is prepared for restorations, and implants have a success rate of 90% over 10 years[26]. Pre-implant orthodontics must leave adequate room for the implant between the adjacent roots as well as sufficient crown space. This can be achieved by using the golden proportion, the contralateral lateral incisor, a Bolton analysis, or a diagnostic wax-setup. Generally the lateral incisor site should be 5-7mm. Space between the roots of the adjacent teeth and the implant can be no less 0.75mm, with 1.5-2mm space between the adjacent crowns and implant head.[27] Implants must be placed after growth cessation due to the continuing vertical growth of the jaws. If growth has not stopped, this can lead to infraocclusion of the implant with an unesthetic gingival architecture. On average boys finish growth at 21 years of age and girls at 17 years.[1,2,5,10]

After orthodontics, the adjacent roots must be maintained out of the edentulous site, and the alveolar ridge may need bone grafting in the future if the ridge narrows. The lateral incisor space will also need a temporary pontic, which is often built into a retainer or a RMB. If the implant is placed too labially, the thin buccal bone can resorb and the gingiva can appear gray in color. Poor soft tissue management can also lead to loss of papillary esthetics; the papilla distal to the lateral incisor implant can be particularly difficult to fill in the embrasure space.[23] Fig.8

Several criteria have to be considered before placing a single tooth implant in adolescents:
1. Time of implant placement
2. Development of a proper implant site
3. Space needed coronally
4. Space needed apically
5. Height of gingiva

6. Retention of space needed before implant placement

Generally, implants must not be placed until the patients have completed their facial growth and the majority of their tooth eruption. As the face grows and the mandibular rami lengthen, teeth must erupt to remain in occlusion.[28] However, the implant behaves like an ankylosed tooth and will not follow the changes of the alveolar processes due to the eruption of adjacent teeth.[29]

This may result in clinical infraocclusion of the implant-supported crown and cause a discrepancy in the occlusal plane and between the gingival margins of the implant and the adjacent natural teeth.[28,29] Thus, evaluation of the completion of facial growth by cephalometric radiographs must be done and subsequently, the patient should be informed for the optimal time of implant placement.[10,12,27] However, even mature adults can exhibit major vertical steps after anterior restorations with implants to the same extend as adolescents.[30] Fig.9

To achieve a predictable and esthetically satisfying implant outcome, it is crucial to have a properly developed implant site. The buccolingual dimension of the alveolar ridge has to be wide enough to allow a surgeon to place the implant in a correct 3-D position.[31-33] If the buccolingual dimension is insufficient, a bone graft may be necessary. An ideal method to develop a proper width of the alveolar ridge can be achieved if the canine erupts next to the central incisor. The buccolingual width of the canine creates a sufficient width of the ridge when erupting. After eruption, the canine can be distalized[34] orthodontically and, therefore, establish a proper buccopalatal width of the alveolar ridge. Studies have demonstrated that if an implant site is developed with this kind of orthodontic guided tooth movement, the buccopalatal width remains stable.

Distalizing may need to be done with bodily movement to develop adequate space between the roots. If a panoramic radiograph reveals that the permanent canine is apical to the primary canine, the extraction of the primary lateral incisor may be considered to guide the eruption of the permanent canine toward the central

![Fig.8; Pre-operative view](image-url)
incisor,[1,23,33]

This (as explained previously) is favorable for developing a proper implant site. Otherwise, it may not be possible to guide the eruption of the canine near the central incisor, the osseous ridge will not fully develop, and the buccopalatal width will be insufficient for a proper implant placement. In these cases, it is necessary to perform a bone graft before or at the time of implant placement to achieve a sufficient dimension of the alveolar ridge.[1-5]

The amount of space needed for the implant and crown is generally determined by the contralateral lateral incisor. However, if both lateral incisors are missing or the contralateral one is peg-shaped, the amount of space should be determined by one of the methods below:

1. The golden proportion
2. The Bolton analysis
3. A diagnostic wax-up

[27]

When the orthodontist opens space for the missing lateral incisor with fixed appliances, he should be very careful so the central incisor and the canine are moved by… and not to tip apart, because this is likely to make implant placement impossible. Thus, the orthodontist must confirm the ideal root position with a periapical or a panoramic radiograph, before the fixed appliances are removed.[10,12,27]

In certain patients, it may be impossible to achieve acceptable interradicular spacing, even though the coronal spacing may be ideal. Particularly, in a patient with a Class III tendency malocclusion who requires proclination of the maxillary central incisors, when the crowns are tipped labially, the roots tend to converge toward each other resulting in a “wagonwheel” effect. In such cases, an alternative restoration option is required.[35,36,37]

Implants can be placed in women at approximately age 17 and in men at approximately age 21. At these ages, craniofacial growth is generally completed. Treatment of these patients should start before this age because development of the alveolar ridge has to be achieved and coronal space and interradicular space has to be created. That leads to a period of time when space maintenance may have to be provided for a patient, if craniofacial growth is not yet completed. The temporization and stabilization depends on the waiting time until the implant may be placed. If patients are ready for implant placement in a couple of months, a removable retainer, such as a Hawley retainer or an Essix retainer with a built-in prosthetic tooth, can be used. If patients have to wait 1 or 2 years before completion of growth is achieved, a temporary resin-bonded bridge is the more favorable option.[35-38]
Advantages of implant approach:
- No adjacent teeth have to be prepared
- Successful osseointegration of implants

Disadvantages of implant approach:
- Esthetic outcome may be worse than orthodontic approach and/or prosthetic approach (long term)
- Needs perfect team play between orthodontist, oral surgeon, and prosthodontist or result could be compromised
- Apical migration of gingival and bone if traditional implants are used—additional need of grafting overtime to obtain esthetic results. Fig. 11

Autotransplantation Approach:
At present, autotransplantation of teeth has evolved into a viable treatment option for replacing missing teeth, since successfully transplanted teeth have been proved to function as totally normal teeth. Autotransplantation of teeth ensures that alveolar bone volume is maintained, due to physiological stimulation of the periodontal ligament. Moreover, successful tooth transplantation offers improved esthetics, arch form, dentofacial development, mastication, speech, and arch integrity. Autotransplants, unlike restorative prosthetic units, also provide proprioception during function and can be used in the growing patient (Bavitz, 2010). Finally, the total treatment cost is normally lower in comparison to alternative prosthetic, restorative, and/or orthodontic treatment options.

Further, in cases of congenital absence of permanent teeth, replacement with autotransplanted teeth guarantees that the alveolus will not atrophy, especially when the patient is still at a growing age. Successful tooth transplantation in young individuals also facilitates dentofacial development, mastication, and speech, along with maintenance of the attached gingivae, in a natural shape and level. Even in the case of failure after a certain number of years, the maintenance of alveolar bone volume until then is essential. This is especially the case when a dental implant has been chosen as an alternative restorative solution.

The optimal time for the autotransplantation of premolars to the maxillary incisor area is when the development of the roots of the premolars has reached two-thirds to three-fourths of the final root length. If the timing is right, which means patients are approximately 9 to 12 years of age, the periodontal healing is better than 90%. Root growth continues after the autotransplantation and the teeth maintain their capacity for functional adaptation; endodontic treatment is most of the time not necessary after treatment. Next to timing, the surgical technique for tooth transplant is of great importance for the success of the treatment, which means that any damage to the periodontal ligament has to be avoided; otherwise, alkalosis may occur. The long-term results for autotransplantation are impressive. Czochrowska and colleagues found, in their long-term studies, including 33 transplanted premolars with a mean of 26.4 years, a survival rate of 90%.

Additional orthodontic treatment after autotransplantation is possible, because a normal periodontal ligament is established. Waiting 3 to 4 months is recommended before any orthodontic treatment is started.

The premolars are usually reshaped and build up with composite in the beginning; later on, when an ideal result is achieved, the composites can be replaced with porcelain veneers.

Advantages of autotransplantation approach:
- Biologic approach
- Creates alveolar bone
- Periodontal membrane
- Adjustable alveolar bone
- Periodontal membrane after surgery with orthodontics
- Normal interdental papilla
- Good long-term results.

Disadvantages of autotransplantation approach
- Experienced surgeon necessary
- Very technique sensitive
- Age limitation, 9–12 years
- The transplanted tooth can serve and function as a normal tooth.

Fig. 11: Zirconia crowns cemented
Therefore, in addition to improved esthetics and mastication, successful tooth transplantation offers arch space maintenance and preserves the volume and morphology of the alveolar bone. The cost is also considerably reduced in comparison to advanced treatment options such as dental implants and/or prosthetic replacements; moreover, it can be performed as a single-step surgical procedure.[46,50]

Conclusions:
In treating congenitally missing lateral incisors, the two major alternatives, orthodontic space closure or space opening for prosthetic replacements, can both compromise esthetics, periodontal health, and function. If orthodontists and other specialists including restorative dentists establish realistic objectives, communicate the sequence of treatment, interact during treatment, evaluate dental and gingival esthetics, and position teeth to facilitate proper restorative treatment, the esthetics and long-term dental health of the overall treatment will be greatly enhanced.

The main points are:
- Both treatment alternatives have advantages and disadvantages as well as indications and contraindications for each one.
- Orthodontic space closure has become a more popular treatment choice as it seems to be more acceptable by patients and periodontically better.
- Space opening for prosthetic replacement is generally not preferred because the esthetic result is difficult to control in long-term.

Implants are probably the most favorable treatment alternative for many dentists for replacing missing anterior teeth. The implant approach in the anterior region is a delicate situation, which can be challenging esthetically, especially in the long term. In this scenario, it is necessary to work as a team to have ideal conditions before and after implant placement. Autotransplantation can be a good alternative in growing patients. It is not suitable for nongrowing adults. As in all the other treatment options, an interdisciplinary approach between oral surgeon, periodontist, orthodontist, and restorative dentist is crucial. This may be the most important take-home message in today’s world: with the high demand for esthetics, it is not possible for a dentist who tries to work alone to achieve an optimal esthetic result, especially in challenging cases.

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